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Subject: Quarterly Special Account Report
Date: Friday, January 22, 2010 10:51:36 AM
Attachments: [FY10 Planned Reclassification Status.January 2010.xls](#)
[FY10 Planned TF Transfer Status.January 2010.xls](#)

Regional SF DDs:

Attached, FYI, are updated reports on the status of planned special account reclassifications and transfers to the Superfund Trust Fund for FY 2010. I know many of you are currently working on draft notification memos and I encourage you to send those in so we can reclassify and recertify funds for work at other sites before the end of the fiscal year. We are hoping to see these before June if at all possible.

Please feel free to have your budget, special account or information management coordinators contact Tracey Stewart on my staff if they have questions.

Thank you for your attention to this.

(We are also working on a Spec. Acct. Status Report that will be sent out in the next week or so.)

(See attached file: FY10 Planned Reclassification Status.January 2010.xls)(See attached file: FY10 Planned TF Transfer Status.January 2010.xls)

----- Forwarded by Tracey Stewart/DC/USEPA/US on 01/14/2010 02:47 PM -----

From: James Woolford/DC/USEPA/US
To: OSWER SF Reg DDs
Cc: OSWER SF Reg Branch Chiefs, Tracey Stewart/DC/USEPA/US@EPA, RobinH Richardson/DC/USEPA/US@EPA, Elizabeth Southerland/DC/USEPA/US@EPA, Elliott Gilberg/DC/USEPA/US@EPA, Sandra Connors/DC/USEPA/US@EPA, Jennifer Wilbur/DC/USEPA/US@EPA, Thea Williams/DC/USEPA/US@EPA, Karin Leff/DC/USEPA/US@EPA, Hollis Luzecky/DC/USEPA/US@EPA, Barnes Johnson/DC/USEPA/US@EPA, David Bloom/DC/USEPA/US@EPA, Stefan Silzer/DC/USEPA/US@EPA, Melvin Visnick/DC/USEPA/US@EPA, Barry Breen/DC/USEPA/US@EPA, Mathy Stanislaus/DC/USEPA/US@EPA, Antoinette Powell-Dickson/DC/USEPA/US@EPA, Ellyn Fine/DC/USEPA/US@EPA, Debbie Dietrich/DC/USEPA/US@EPA, Dana Tulis/DC/USEPA/US@EPA, John Reeder/DC/USEPA/US@EPA, GailAnn Cooper/DC/USEPA/US@EPA, michaud.john@epa.gov
Date: 11/05/2009 05:03 PM
Subject: Fw: FY 2009 SA Reclass/TF Transfers & FY 2010 Planned Reclass/TF Transfers

Regional SF DDs:

Attached, FYI, is a report done by OSRTI to monitor how we performed last year (FY 2009) against CERCLIS planning data in terms of reclassifying and transferring to the Trust Fund funds from special accounts. As you can see the initial plans totaled approximately \$29 M and ultimately slightly more than \$15 M was reclassified.

Also attached is a spreadsheet indicating what those plans currently look like for FY 2010. Currently there is about \$43 M planned in CERCLIS.

The purpose of the reclassification and transfer to the Trust Fund fields in CERCLIS is to identify special account funds that are no longer needed for future response work and that can be made available for other uses. This is the first year we have identified these potential actions. We did this in an effort to improve our use of special accounts as a result of the OIG report released in March 2009.

Please note that these plans are not "targets" in the same manner as we target GPRA measures, SPIM measures or even deobligations. The purpose of monitoring these plans is to determine if there are reasons why plans did not occur so we can make improvements to the planning process moving forward. We may also uncover other issues related to managing special accounts that will ultimately improve our overall management of them. We are also doing this to ensure that the Agency is making available resources that can be used to clean up sites.

As you should be aware, we are back in a "typical" budget year with budget demands that will outweigh our available funds. To the extent we can reclassify these funds, we can use them to accomplish more. Regions are strongly encouraged to identify those funds that are no longer needed at a site in the special account and to begin the process of reclassifying them as soon as possible.

We are aware that in some cases for FY 2009 the ongoing work regarding ARRA funds may have displaced plans for reclassification or transfer to the Trust Fund. We are also aware of the intense level of work required to make the reclassifications a reality. As a result, what we have learned from the FY 2009 process is that it may be advantageous to begin the reclassification and transfer to the Trust Fund processes earlier in the FY rather than the fourth quarter when there is increased work in the financial management areas.

In an effort to improve our monitoring of these plans and identify potential areas for process improvements, please have your special accounts coordinator provide explanations for FY 2009 planned reclassifications and transfers to the Trust Fund that did not occur and are not planned to occur in FY 2010 to Tracey Stewart on my staff by 12/04/09 (see "FY09 No Longer Planned in FY10" spreadsheet in the attached files for a list of accounts).

Going forward, I plan to provide these status sheets to you quarterly as FYI.

Feel free to have your budget, special account or information management coordinators contact Tracey Stewart on my staff if they have questions.

James E. Woolford, Director
Office of Superfund Remediation &
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Office of Solid Waste and Emergency Response
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OSRTI Web Site: <http://www.epa.gov/superfund>

----- Forwarded by James Woolford/DC/USEPA/US on 10/23/2009 08:59 AM -----

Tracey Stewart/DC/USEPA/US

From:

To:

Cc:

FY 2009 SA Reclass/TF Transfers & FY 2010 Planned Reclass/TF Transfers

Subject:

To summarize where we are, in FY 2009 the Regions planned for \$29 million to be reclassified and accomplished \$14.4 million, and planned for \$22.1 million to be transferred to the Trust Fund and accomplished \$9.5 million. In FY 2010, the Regions have planned for \$43.1 million (in 70 accounts) to be reclassified and \$3.5 million (in 31 accounts) to be transferred to the Trust Fund.

Attached are two excel files I'm keeping to track reclassifications and transfers to the trust fund (I left hard copies of the spreadsheets on your chair). They contain:

1. A spreadsheet depicting the outcome of what was planned for FY 2009.
2. A spreadsheet to capture the reason planned FY 2009 actions are no longer planned for reclassification or transfer to the trust fund. There are a handful of accounts where the funds are no longer planned for reclassification and transfer to the trust fund. Per the IG recommendations we need to find out why those plans have changed since OSRTI is to ensure that regions follow through on their planned use of special accounts.
3. A spreadsheet depicting plans for FY 2010 that I will update at least monthly as KVs and TF transfers occur.

We've discussed sending out to the SF DDs the FY 2010 spreadsheet on a quarterly basis to remind them that these actions need to take place. We also need to request the regions provide an explanation for those accounts where the funds are no longer planned for reclassification or transfer to the Trust Fund.

Thanks!

[attachment "FY10 Planned Reclassification Status.October 2009.xls" deleted by Tracey Stewart/DC/USEPA/US] [attachment "FY10 Planned TF Transfer Status.October 2009.xls" deleted by Tracey Stewart/DC/USEPA/US]

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